

Endarterectomy versus stenting for stroke prevention

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ABSTRACT

The European Society for Vascular Surgery (ESVS) has recently prepared updated guidelines for the management of patients with symptomatic and asymptomatic atherosclerotic carotid artery disease, with specific reference to the roles of best medical therapy, carotid endarterectomy (CEA) and carotid artery stenting (CAS). In symptomatic patients, there is a drive towards performing carotid interventions as soon as possible after onset of symptoms. This is because it is now recognised that the highest risk period for recurrent stroke is the first 7–14 days after onset of symptoms. The guidelines advise that there is a role for both CEA and CAS, but the levels of evidence are slightly lower for CAS than for CEA. This is because 30-day risks of death/stroke in the randomised controlled trials (RCTs) were significantly higher than after CEA (especially in the first 7–14 days after onset of symptoms) and there are concerns that the results obtained in the RCTs may not be generalisable into routine clinical practice. In asymptomatic patients, the 2018 ESVS guidelines were the first to recommend that CEA/CAS should be targeted into a smaller cohort of patients who may be 'higher risk for stroke' on medical therapy. As with symptomatic patients, the ESVS guidelines advise that there is a potential role for both CEA and CAS, but the levels of evidence are again slightly lower for CAS than for CEA. This is because 30-day risks of death/stroke in the two largest RCTs, which used credentialed (experienced CAS practitioners), were only just within the accepted 3% risk threshold and there remain concerns that the results obtained in RCTs may not be generalisable into routine clinical practice.

SYMPTOMATIC PATIENTS

Background

Patients are traditionally considered 'recently symptomatic' if they have suffered a carotid territory transient ischaemic attack or stroke within the preceding 6 months. In the 1980s, there was controversy as to whether carotid endarterectomy (CEA) conferred any benefit over best medical therapy (BMT) in patients with an ipsilateral carotid stenosis. Two landmark randomised controlled trials (RCTs), the European Carotid Surgery Trial (ECST) and the North American Symptomatic Carotid Endarterectomy Trial (NASCET), determined that CEA conferred significant benefit over BMT in patients with an ipsilateral 50%–99% internal carotid artery (ICA)

stenosis,^{1 2} using the NASCET method for measuring carotid stenosis severity.² Subgroup analyses suggested that it was possible to identify certain imaging/clinical features that were associated with a higher risk of stroke on BMT.³ Clinical features of increased benefit conferred by CEA include: increasing age (especially patients aged >75 years), recency of symptoms, male sex, hemispheric versus ocular symptoms, cortical versus lacunar stroke and increasing medical comorbidities.³ Imaging features associated with an increased risk of stroke on medical therapy include: irregular versus smooth plaques, increasing stenosis severity (but not subocclusion), contralateral occlusion, tandem intracranial disease and a failure to recruit the intracranial collateral circulation.³

CEA versus CAS in recently symptomatic patients 30-day outcomes

Nine RCTs recruited symptomatic patients only,^{4–12} while five randomised both symptomatic and asymptomatic patients between CEA and carotid artery stenting (CAS).^{13–17} The most influential national/international RCTs comparing CEA with CAS in symptomatic patients include: the Endarterectomy Versus Angioplasty in Patients with Symptomatic Severe Carotid Stenosis (EVA-3S) trial, the Stent-Protected Angioplasty versus Carotid Endarterectomy (SPACE) study, the International Carotid Stenting Study and the Carotid Revascularisation versus Stenting Trial (CREST).^{8 9 11 18} The principle 30-day endpoints for these four RCTs are detailed in table 1.

Table 2 details ORs (95% CIs) for 30-day death/stroke in the four main RCTs, where only the symptomatic patients randomised within CREST were included within the meta-analysis.

The Carotid Stent Trialists Collaboration (CSTC) have undertaken a number of subgroup analyses to determine factors associated with poorer outcomes after CAS and CEA, which may influence how individual symptomatic patients are treated.



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Table 1 30-day risks following CEA and CAS in trials that randomised >500 recently symptomatic patients into EVA-3S, SPACE, International Carotid Stenting Study (ICSS) and CREST^{8 9 11 18}

30-day risks	EVA-3S ⁸		SPACE ⁹		ICSS ¹¹		CREST ^{*18}	
	CEA (n=262)	CAS (n=261)	CEA (n=589)	CAS (n=607)	CEA (n=857)	CAS (n=853)	CEA (n=653)	CAS (n=668)
Death	1.2%	0.8%	0.9%	1.0%	0.8%	2.3%		
Any stroke	3.5%	9.2%	6.2%	7.2%	4.1%	7.7%	3.2%	5.5%
Death/any stroke	3.9%	9.6%	6.5%	7.4%	4.7%	8.5%	3.2%	6.0%
Death/disabling stroke	1.5%	3.4%	3.8%	5.1%	3.2%	4%		
Death/stroke/MI					5.2%	8.5%	5.4%	6.7%
Cranial nerve injury	7.7%	1.1%			5.3%	0.1%	5.1%	0.5%

*Only includes symptomatic patients from CREST.

CAS, carotid artery stenting; CEA, carotid endarterectomy; CREST, Carotid Revascularisation versus Stenting Trial; EVA-3S, Endarterectomy Versus Angioplasty in Patients with Symptomatic Severe Carotid Stenosis; MI, myocardial infarction; SPACE, Stent-Protected Angioplasty versus Carotid Endarterectomy.

CAS operator experience

In EVA-3S, SPACE and ICSS, the 30-day rate of death/stroke was not influenced by lifetime CAS practitioner stenting experience ($P=0.8$). However, the 30-day rate of death/stroke was significantly higher in symptomatic patients who were treated by CAS practitioners with a low annual CAS volume (≤ 3 procedures per annum; 30-day death/stroke=10.1%; adjusted risk ratio=2.30 (95% CI 1.36 to 3.87)), versus intermediate in-trial CAS volumes (3–6 procedures per annum; 30-day death/stroke=8.4%; adjusted risk ratio=1.93 (95% CI 1.14 to 3.27)), compared with patients treated by higher annual in-trial volume practitioners (>6 procedures per year; 30-day death stroke=5.1%).¹⁹

Effect of age in recently symptomatic patients

The CSTC pooled data from EVA-3S, SPACE, ICSS and CREST, regarding the effect of increasing age on 30-day death/stroke after CEA and CAS.²⁰ There was no evidence of any association between increasing patient age and an increased risk of death/stroke after CEA. However, increasing age was associated with increasing procedural risks in symptomatic patients undergoing CAS.

Table 2 ORs (95% CIs) for 30-day death/stroke for CEA versus CAS in EVA-3S, SPACE, ICSS and CREST*

Trial	OR (95% CI)
EVA-3S ⁸	0.38 (0.16 to 0.84)
SPACE ⁹	0.89 (0.55 to 1.42)
ICSS ¹¹	0.53 (0.35 to 0.80)
CREST ^{*18}	0.52 (0.29 to 0.92)
Meta-analysis	0.59 (0.42 to 0.81)

*Only symptomatic patients from CREST were included.

CAS, carotid artery stenting; CEA, carotid endarterectomy; CREST, Carotid Revascularisation versus Stenting Trial; EVA-3S, Endarterectomy Versus Angioplasty in Patients with Symptomatic Severe Carotid Stenosis; SPACE, Stent-Protected Angioplasty versus Carotid Endarterectomy.

Compared with CAS patients aged <60 years, performing CAS in patients aged 70–74 years was associated with a significant increase in 30-day death/stroke (OR 4.01 (95% CI 2.19 to 7.32)). In CAS patients aged >80 years (compared with CAS patients <60 years), the 30-day risk of death/stroke was increased by 4.15 (95% CI 2.20 to 7.84).²⁰

Compared with CEA, 30-day rates of death/stroke were no different after CAS in recently symptomatic patients aged <70 years of age. However, there was a progressive increase in the risk of death/stroke after CAS (compared with CEA) which became significant at age 70–74 (OR 2.09 (95% CI 1.32 to 2.32)), increasing to an OR of 2.43 (95% CI 1.35 to 4.38) for CAS patients aged >80 years.²⁰

Recency of symptoms

There is now a worldwide drive towards performing carotid interventions as soon as possible after onset of symptoms. This is because evidence suggests that the risk of stroke in the first 7–14 days after onset of symptoms is significantly higher than previously thought, while delays to CEA are associated with significant reductions in the benefit conferred by CEA.³ The CSTC undertook an individual patient meta-analysis of outcomes, stratified for the time delay between symptom onset and undergoing CEA/CAS.²¹ Patients undergoing CAS within 0–7 days after symptom onset were significantly more likely to suffer a perioperative stroke (9.4%), compared with CEA (2.8%) (OR 3.4 (95% CI 1.01 to 11.8)). Patients undergoing CAS within 8–14 days after symptom onset were also significantly more likely to suffer a perioperative stroke (8.1%) compared with CEA (3.4%) (OR 2.4 (95% CI 1.0 to 5.7)).²¹

Late outcomes after CEA/CAS in symptomatic patients

Late ipsilateral stroke

Each of the four largest RCTs have shown that once the perioperative period has elapsed, late rates of ipsilateral stroke were no different to CEA, indicating that CAS was as durable as CEA.^{9 22–24}

Table 3 2018 ESVS recommendations for managing patients with symptomatic carotid artery disease²⁸

CEA is recommended in patients reporting carotid territory symptoms <6 months and who have a 70%–99% carotid stenosis, provided the documented procedural death/stroke rate is <6%.	Class I	Level A
CEA should be considered in patients reporting carotid territory symptoms <6 months and who have a 50%–69% carotid stenosis, provided the documented procedural death/stroke rate is <6%.	Class IIa	Level A
It is recommended that most patients who have suffered carotid territory symptoms <6 months and who are aged >70 years and who have 50%–99% stenoses should be treated by CEA, rather than by CAS.	Class I	Level A
When revascularisation is indicated in patients who with carotid territory symptoms <6 months and who are aged <70 years, CAS may be considered an alternative to CEA, provided procedural death/stroke rates are <6%.	Class IIb	Level A
When revascularisation is considered appropriate in symptomatic patients with 50%–99% stenoses, it is recommended that this be performed as soon as possible, preferably within 14 days of symptom onset.	Class I	Level A
Patients who are to undergo revascularisation within the first 14 days after onset of symptoms should undergo CEA, rather than CAS.	Class I	Level A
In recently symptomatic patients with 50%–99% stenoses and anatomical and/or medical comorbidities that are considered by the multidisciplinary team to make them 'higher-risk for CEA, CAS should be considered as an alternative to endarterectomy, provided the documented procedural death/stroke rate is <6%.	Class IIa	Level B

The colour of the text boxes identifies the class and level of evidence.

CAS, carotid artery stenting; CEA, carotid endarterectomy; CREST, Carotid Revascularisation versus Stenting Trial; ESVS, European Society for Vascular Surgery.

Late survival

In CREST, CEA was associated with a 2.3% risk of perioperative myocardial infarction (MI), which was significantly higher than the 1.1% observed after CAS (OR 2.0 (95% CI 1.06 to 3.8), $P=0.03$).¹⁴ In a CREST subgroup analysis, patients suffering a perioperative MI faced a threefold increase in late mortality (HR 3.4 (95% CI 1.7 to 6.0), $P=0.001$).²⁵ This was interpreted at the time as meaning that anyone with a history of history of cardiovascular disease should preferentially undergo CAS, rather than CEA.²⁵

However, reduced survival after a perioperative MI needs to be balanced against a similar effect of a perioperative stroke on late survival. In CREST, CAS was associated with a 4.1% risk of perioperative stroke, which was significantly higher than the 2.3% observed after CEA (OR 1.79 (95% CI 1.14 to 2.82), $P=0.01$).¹⁴ In a further CREST subgroup analysis, patients suffering a perioperative stroke also faced a significant increase in late mortality (HR 2.78 (95% CI 1.63 to 4.76)).²⁶ In a separate meta-analysis, Vincent *et al* reported that CAS was

associated with a 0.3% absolute reduction in perioperative MI, which was offset by a 1.8% increase in perioperative stroke.²⁷

Translating evidence into clinical practice in symptomatic patients

Table 3 summarises the 2018 European Society for Vascular Surgery (ESVS) recommendations for the management of symptomatic carotid disease.²⁸ As can be seen, the guidelines advise that there is a role for both CEA and CAS, but the levels of evidence are slightly lower for CAS than for CEA. This is because 30-day risks of death/stroke in the RCTs were significantly higher after CAS than after CEA, and there remain concerns that results obtained in the RCTs may not be generalisable into routine clinical practice. In a systematic review, Paraskevas *et al* observed that 13/18 administrative dataset registries (72%) reported 30-day death/stroke rates in excess of the recommended 6% risk threshold following CAS in symptomatic patients, while 5/18 (28%) reported stroke rates in excess of 10%. This compares with 1/18 registries, which reported 30-day

Table 4 30-day morbidity and mortality in randomised trials comparing CEA and CAS in asymptomatic patients

	Lexington ³⁴		CREST-1 ^{*18}		ACT-1 ³⁵		SPACE-2 ³³			Mannheim ³⁶	
30-day outcomes	CEA n=42	CAS n=43	CEA n=587	CAS n=364	CEA n=364	CAS n=1089	CEA n=203	CAS n=197	BMT n=113	CEA n=68	CAS n=68
Death/stroke	0%	0%	1.4%	2.5%	1.7%	2.9%	2.0%	2.5%	0.0%	1.5%	2.9%
Death/disabling stroke	0%	0%	0.3%	0.5%	0.6%	0.6%					

*Only asymptomatic patients in CREST-1 were included.

ACT-1, Asymptomatic Carotid Trial 1; CAS, carotid artery stenting; CEA, carotid endarterectomy; SPACE, Stent-Protected Angioplasty versus Carotid Endarterectomy.

Table 5 2018 ESVS Guidelines: clinical/Imaging features associated with an increased risk of stroke in patients with asymptomatic carotid stenosis treated medically²⁸

Clinical	History of contralateral TIA or stroke
CT/MRI	ipsilateral 'silent' infarction
Ultrasound	Stenosis progression >20%; spontaneous embolisation on TCD; impaired cerebral vascular reserve; large volume plaques (>80 mm ²); predominantly echolucent plaques; large juxta-luminal black area (>8 mm ²)
MRI	Intraplaque haemorrhage

ESVS, European Society for Vascular Surgery; TCD, transcranial Doppler ultrasound; TIA, transient ischaemic attack.

death/stroke rates exceeding 6% in patients undergoing CEA.²⁹

ASYMPTOMATIC PATIENTS

Background

Patients considered to be asymptomatic have either reported no carotid territory symptoms at any time in the past, or at least 6 months have elapsed since the most recent symptom. Two landmark RCTs, the Asymptomatic Carotid Atherosclerosis Study (ACAS) and the Asymptomatic Carotid Surgery Trial (ACST), determined that CEA conferred a small but significant benefit over BMT in patients with an ipsilateral 60%–99% ICA stenosis.^{30 31} Unlike in NASCET and ECST, it was more difficult to identify subgroups of patients who were at higher (or lower) risk of stroke if treated medically. The available data suggested that males gained greater benefit than females and that patients aged >75 years gained no benefit from CEA. Interestingly, the presence of a contralateral occlusion and increasing stenosis severity was not associated with an increased risk of late stroke on medical therapy in the RCTs.³²

CEA versus CAS in asymptomatic patients

30-day outcomes

Four RCTs exclusively randomised asymptomatic patients,^{33–36} while five included asymptomatic patients within the trial as well as symptomatic patients.^{13–17} In the latter studies, outcomes were not always stratified for symptom status. Table 4 details the main 30-day outcomes from five RCTs where data were provided for asymptomatic patients.

Late outcomes

The Lexington study, CREST and ACT-1 observed that once the perioperative period had elapsed, there was no difference in rates of late ipsilateral stroke, suggesting that CAS was as durable as CEA.^{22 34 35}

Translating evidence into clinical practice

Unlike the symptomatic RCTs, which continue to retain the same relevance in the modern era, there are concerns that the ACAS and ACST trials (which recruited

Table 6 2018 ESVS recommendations for managing patients with asymptomatic carotid artery disease²⁸

In 'average surgical risk' patients with an asymptomatic 60%–99% stenosis, CEA should be considered in the presence of 1+ imaging characteristics that may be associated with an increased risk of late ipsilateral stroke*, provided perioperative stroke/death rates are <3% and the patient's life expectancy exceeds 5 years.	Class IIa	Level B
In 'average surgical risk' patients with an asymptomatic 60%–99% stenosis in the presence of 1+ imaging characteristics that may be associated with an increased risk of late ipsilateral stroke*, CAS may be an alternative to CEA, provided perioperative stroke/death rates are <3% and the patient's life expectancy exceeds 5 years.	Class IIb	Level B
CAS may be considered in selected asymptomatic patients who have been deemed by the multidisciplinary team to be 'high-risk for CEA' and who have an asymptomatic 60%–99% stenosis in the presence of 1+ imaging characteristics that may be associated with an increased risk of late ipsilateral stroke*, provided procedural risks are <3% and the patient's life expectancy exceeds 5 years.	Class IIb	Level B

*See table 5 for clinical/imaging features.

The colour of the text boxes identifies the class and level of evidence.

CAS, carotid artery stenting; CEA, carotid endarterectomy; ESVS, European Society for Vascular Surgery.

patients up to 25 years ago) may not be as relevant as when published in 1995 and 2004, respectively.^{30 31} This is mainly because of increasing evidence that the risk of stroke on 'modern BMT' may not be as high as previously thought and there is evidence that the annual risk of stroke on BMT may have declined by about 70% since ACAS first reported in 1995.^{32 37} These concerns were recognised in the 2018 ESVS carotid guidelines where it was recommended that only patients with one or more clinical and/or imaging features that might make them higher risk for stroke on BMT should be considered for CEA or CAS.²⁸ These imaging and clinical criteria are summarised in table 5 and readers are referred to the 2018 ESVS carotid guidelines, where greater detail has been provided regarding the magnitude of benefit (in terms of stroke reduction) associated with each of these clinical/imaging parameters.²⁸

While this decision to target CEA/CAS into a smaller cohort of asymptomatic patients has not always met with universal approval,³⁸ it was necessary as (currently) 95% of all asymptomatic patients undergoing a carotid intervention ultimately undergo an unnecessary intervention.³²

Interestingly, the American Heart Association guidelines advise that only 'highly selected' asymptomatic patients should be considered for CEA (or CAS), but they have never defined exactly what 'highly selected' means.³⁹

Table 6 summarises the 2018 ESVS recommendations for the management of asymptomatic carotid disease. As with symptomatic patients, the ESVS guidelines advise that there is a potential role for both CEA and CAS, but the levels of evidence are slightly less for CAS than for CEA. This is because 30-day risks of death/stroke in the largest RCTs, which used credentialed (experienced CAS practitioners),^{18,35} were only just within the accepted 3% risk threshold and there remain concerns that the results obtained in the RCTs may not be generalisable into routine clinical practice. In a systematic review, Paraskevas *et al* observed that 9/21 administrative dataset registries (43%) reported 30-day death/stroke rates in excess of the recommended 3% risk threshold after CAS in asymptomatic patients, while 7/21 (33%) reported stroke rates in excess of 4%. This compares with 1/21 registries which reported 30-day death/stroke rates exceeding 3% in patients undergoing CEA.²⁹

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