

# Updates in arteriovenous malformation management: the post-ARUBA era

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## ABSTRACT

Brain arteriovenous malformations (AVMs) are complex and heterogeneous lesions that can rupture, causing significant morbidity and mortality. While ruptured lesions are usually treated, the management of unruptured AVMs remains unclear. A Randomized trial of Unruptured Brain Arteriovenous Malformations (ARUBA) was the first trial conducted to compare the effects of medical and interventional therapy. Although it concluded that medical therapy was superior in preventing stroke and death over a follow-up period of 33 months, the findings were met with intense criticism regarding several aspects of study design, progression, and analysis/conclusion. Namely, the increased use of stand-alone embolisation relative to microsurgery in a cohort with predominantly low-grade lesions combined with a short follow-up period amplified treatment risk. Subsequently, several observational studies were conducted on ARUBA-eligible patients to investigate the safety and efficacy of microsurgery, radiosurgery, and endovascular embolisation over longer follow-up periods. These reports showed that favourable safety profiles and cure rates can be achieved with appropriate patient selection and judicious use of different treatment modalities in multidisciplinary centres. Since large prospective randomised trials on AVMs may not be feasible, it is important to make use of practice-based data beyond the flawed ARUBA study to optimise patients' lifetime outcomes.

## INTRODUCTION

Brain arteriovenous malformations (AVMs) are rare cerebrovascular lesions characterised by an abnormal mass of dilated arteries and veins and direct arteriovenous shunting.<sup>1 2</sup> They are usually discovered during young adulthood and can present with headaches, seizures, or most commonly, intracranial haemorrhage, which can cause significant morbidity and mortality.<sup>3 4</sup> Since the first AVM surgical exposure by Giordano and the first successful AVM resection by Péan in 1889,<sup>5</sup> the treatment of these heterogeneous lesions has evolved along with several innovations in the fields of microneurosurgery, radiosurgery, and endovascular neurosurgery.<sup>6</sup> Currently, AVMs are managed by either medical management with watchful follow-up or using the following modalities alone or in combination: surgical resection, stereotactic radiosurgery or embolisation.<sup>7</sup>

In a patient-level meta-analysis of 2525 patients with 6074 patient-years of follow-up, the annual rate of AVM haemorrhage was around four times higher in lesions that presented with haemorrhage (4.8%) compared with unruptured AVMs at baseline (1.3%).<sup>8</sup> As a result, ruptured lesions are generally treated to mitigate the risks of rebleeding. However, in the absence of robust data on natural history and treatment risk, the management of unruptured AVMs presents a challenge.<sup>9</sup> In an effort to address this knowledge gap, A Randomized Trial of Unruptured Brain Arteriovenous Malformations (ARUBA) was conducted and was the first completed randomised prospective clinical trial comparing the effects of medical versus interventional therapy.<sup>10</sup> After an interim analysis of results, the trial was halted prematurely, and its findings were met with profound criticism. As the study represents a landmark trial in the modern era of AVM patient care, we sought to provide a summary of the major findings and criticisms of ARUBA while providing an overview of the main studies that followed and the current status of AVM management.

## ARUBA SUMMARY

Having been launched in 2006, the trial initially involved 104 clinical sites across nine countries and consisted of a randomised, prospective, parallel and open-label design (<https://clinicaltrials.gov/ct2/show/NCT00389181>). Patients were allocated to either medical management or medical and interventional therapy, including surgery, radiotherapy, and embolisation, alone or in any combination. The main hypothesis tested was that no difference exists between medical management and interventional therapy in the time to symptomatic stroke or death from any cause, the primary outcome of the study. The original recruitment plan was to enrol 800 patients which provides 87.5% power in detecting a 40% reduction in the risk stroke or death. Since recruitment was very slow, target sample size was decreased to

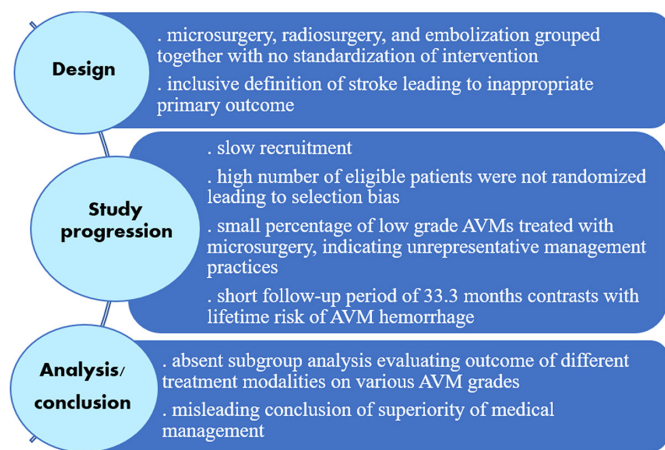


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**Figure 1** Main criticisms of ARUBA summarised by trial phase. ARUBA, A Randomized trial of Unruptured Brain Arteriovenous Malformations; AVM, arteriovenous malformation.

400 patients which provided 80% power in detecting a 46% reduction in risk. After a 6-year period, an interim analysis was conducted on 223 patients who had been enrolled and followed up for a mean of 33.3 months. Out of 109 patients in the medical treatment arm, 11 (10.1%) reached the primary endpoint compared with 35 (30.7%) of the 114 patients in the intervention group.<sup>10</sup> Due to this threefold difference in outcome-free survival and the increased hazard in the intervention group, the study was halted prematurely.

### ARUBA-COMMENTS & CRITICISM

Despite several concerns regarding different aspects of the trial, the baseline patient characteristics confirm an adequate randomisation process, whereby both arms were appropriately matched in demographics, functional status, lesion size, location, and venous drainage pattern.<sup>10 11</sup> The results obtained were also similar to a non-randomized, prospective, population-based cohort study of 204 patients in Scotland that showed a greater hazard for handicap (Oxford Handicap Scale score of 2–5) among patients who underwent interventional therapy over a median follow-up of 6.9 years.<sup>12</sup> The critiques of ARUBA can be divided according to the three main phases of the trial: design, study progression and analysis/conclusion. A summary of the main criticisms is provided in figure 1.

#### Design

At the design level, the trial was heavily criticised for not standardising the treatment arm since each intervention has its own risks, indications and considerations.<sup>13–17</sup> This approach makes it difficult to draw conclusions about the utility of each individual management strategy.<sup>18</sup> In addition, it provides little information about which particular treatment is superior in a specific patient, limiting the insight gained in terms of real-world decision-making.<sup>19</sup> Even though all three modalities of treatment were

grouped together for comparison purposes with no randomisation at the level of every intervention, it would have been useful to show the results obtained for each therapeutic approach.<sup>20</sup> Further, no details were provided about the treatment process itself. Embolisation procedures may be serial, and radiotherapy varies in type (gamma knife, linear accelerator etc) and dosage, which may impact outcomes.<sup>11 17</sup>

In any clinical trial, the choice and definition of the primary outcome can heavily impact the results and their interpretation. In ARUBA, stroke was defined as the occurrence of any clinical symptom, including seizure or new-onset headache, in association with new intracranial blood or a new ischaemic lesion on CT or MRI.<sup>10</sup> The reasoning behind this low threshold for stroke diagnosis is that unruptured lesions are often asymptomatic and hence, any new symptom or radiological sign should be considered as a negative effect of therapy.<sup>21</sup> Yet, imaging changes and mild clinical symptoms such as headache or postintervention seizure may occur with no significant effect on permanent morbidity; consequently, this choice of primary outcome may inflate treatment risks.<sup>14 22</sup>

#### Study progression

Concerning the progression of the study, the recruitment of patients was slow and limited to fewer centres than had been originally planned.<sup>23 24</sup> This could be partly attributed to the absence of clinical equipoise in some cases, whereby patients considered to have a high risk of rupture by investigators were excluded from study enrolment.<sup>11</sup>

The generalisability of trial results is closely tied to the representativeness of the study population. With respect to ARUBA, the high degree of selection bias considerably limited the external validity of the results and conclusions obtained. For instance, most of the included patients were treated in European participating centres, namely Germany (n=51; 23%) and France (n=79; 35%), which may not reflect North American practice.<sup>11</sup> Moreover, out of the 1740 patients who were screened for potential inclusion, 726 patients were eligible, but only 226 were randomised. Of the remaining 500 patients, 177 were managed outside of randomisation and 323 declined enrolment.<sup>11 17</sup> The characteristics of the 177 patients and their AVMs are not known, as no registry was created to follow-up their outcomes.<sup>17</sup> Also, the reasons behind refusing enrolment with regards to the other 323 patients were not discussed.<sup>11</sup>

In relation to effective lesion eradication, a major critique of ARUBA revolves around the choice of treatment modality for the patients belonging to the interventional therapy group. The neurosurgical literature recommends using microsurgical resection as the primary treatment modality for Spetzler-Martin grade I and II AVMs.<sup>25</sup> Though 68% of patients in the treatment group harboured surgically favourable grade I or II AVMs, only 5 patients received microsurgery as stand-alone treatment, and 12 patients had embolisation with neurosurgery. On the other hand, most patients received embolisation alone (n=30), radiotherapy alone

( $n=31$ ), or combined embolisation with radiotherapy ( $n=15$ ). Clearly, this distribution of treatment modalities employed, vis-à-vis the Spetzler-Martin grade of included AVMs, deviates significantly from standard practice in the USA and would predictably lead to suboptimal outcomes in the intervention arm.<sup>11 19 26 27</sup>

Another main criticism of ARUBA is the short follow-up period. AVMs are chronic lesions that confer a lifelong risk of rupture.<sup>28</sup> Over a follow-up time of 33 months, one would expect the immediate risks associated with intervention to be counted, while missing the possible protection against bleeding in the patients' lifetime as compared with natural history and expectant management.<sup>13 17 19 29 30</sup>

### Analysis and conclusion

Given the broad inclusion criteria used in recruitment, the study participants and AVM lesions were heterogeneous, and in the absence of stringent treatment protocols, management strategies were also quite variable. Still, the authors did not conduct subgroup analyses where for example, the effect of different treatment modalities would be investigated in different strata of Spetzler-Martin grades.<sup>15 24 29 31</sup> This was most likely the result of having insufficient power from small sample sizes.<sup>15</sup>

The trial concluded that 'medical management alone is superior to medical management with interventional therapy for the prevention of death or stroke in patients with unruptured brain arteriovenous malformations followed up for 33 months'.<sup>10</sup> Although the authors mentioned that angiography was used to check for lesion eradication in the Methods section, no quantitative data are presented in the results regarding eradication rates, and the timing of stroke in relation to eradication is not clear. In a trial where embolisation and radiosurgery are so heavily used, tracking and analysing data in relation to lesion eradication is crucial, especially since the literature indicates that partial AVM treatment likely provides no meaningful protection from haemorrhage.<sup>1 32 33</sup> When one considers the latency period between radiosurgery and total obliteration, the short follow-up period in this trial further emphasises the need for evaluating eradication.<sup>22</sup> Because cure rates and management practices in the interventional arm were judged to fall below current standards, many authors deemed the final conclusion of this trial to be misleading or inappropriate.<sup>17 34</sup>

### POST-ARUBA: MAJOR STUDIES

In light of the shortcomings of ARUBA, many subsequent retrospective studies were conducted on ARUBA-eligible patients. The studies constituted longer periods of follow-up to ensure that protective effects of treatment were evaluated. Unlike the trial, most of them restricted their analysis to a single main form of intervention to detect treatment-specific outcomes. A discussion of key studies is provided herein, organised by the principal intervention studied with a summary in table 1.

### Microsurgery

Knowing that many included AVMs were surgically favourable, a central weakness of ARUBA was the relative paucity of microsurgical procedures performed. From a consecutive series of 288 patients with AVM, Schramm *et al* analysed their outcomes on 104 ARUBA-eligible patients who underwent microsurgical resection as the sole treatment modality.<sup>35</sup> Over a mean follow-up period of 5.3 years, a permanent new significant deficit occurred in 7.7% of patients, and none of the patients experienced treatment-related mortality.<sup>35</sup> This is much lower than the stroke or death rate encountered in both arms of ARUBA (medical arm: 10.1%; treatment arm: 30.7%) over a shorter follow-up period of 2.8 years.<sup>10</sup> Importantly, the rate of permanent new significant deficits in low-grade AVMs (Spetzler-Martin grade I or II) was less than half that of higher-grade AVMs (3.2% vs 7.7%).<sup>35</sup> Functional outcomes also revealed a similar picture whereby poor modified Rankin scale scores were found in 10.4% of patients compared with 46.2% in the treatment arm of ARUBA.<sup>10 35</sup> These results were also mirrored by a study on 155 consecutive ARUBA-eligible patients with predominantly low-grade AVMs (72%) that were treated primarily by microsurgery. The rate of permanent disabling deficits was 4.5% over a mean follow-up of 3 years, and major intraoperative bleeding (>1 L or transfusion of >2 units of blood) was the most significant predictor of permanent deficits.<sup>36</sup> This association highlights the value of using preoperative embolisation as an adjunct that decreases procedural bleeding by addressing associated aneurysms and decreasing blood flow into the nidus.

### Radiosurgery

It has been shown that the latency period between radiosurgery and total AVM obliteration is around 3 to 5 years<sup>37</sup>; therefore, a follow-up period of 2.8 years was probably insufficient to adequately appraise the potentially protective effects of radiotherapy in ARUBA. To account for this limitation, Karlsson *et al* retrospectively evaluated 1351 ARUBA-eligible patients who were followed up over a mean of 6.5 years.<sup>38</sup> Assuming a yearly haemorrhage risk of 2.2% (as obtained in ARUBA), the cumulative incidence of stroke was compared between the medical treatment arm of ARUBA and the gamma-knife radiosurgery (GKRS) arm of ARUBA-eligible patients with extrapolation up to 10 years.<sup>38</sup> The analysis demonstrated similar stroke incidence for the first 5 years of follow-up after which the cumulative stroke incidence became higher in the medical ARUBA cohort; the difference in cumulative incidence kept increasing as follow-up time progressed beyond 5 years.<sup>38</sup> When stratifying by AVM size, the study showed that a longer follow-up time may be needed (>11 years) for large AVMs (>5 cm<sup>3</sup>) to demonstrate less morbidity and mortality on treatment compared with observation.<sup>38</sup> These findings are similar to those of Pollock *et al* where radiosurgery patients with larger AVM volumes had a higher risk of stroke or death over a median follow-up of 5.3 years.<sup>39</sup> Further emphasising the

**Table 1** Summary of ARUBA and studies evaluating outcome in ARUBA-eligible patients

| Authors, year                               | Patients<br>(% LG*)            | Intervention                                             | Design                       | Mean follow-up     | Stroke/death (%) | mRS $\geq 2$ (%)  |
|---------------------------------------------|--------------------------------|----------------------------------------------------------|------------------------------|--------------------|------------------|-------------------|
| <b>ARUBA</b>                                |                                |                                                          |                              |                    |                  |                   |
| Mohr <i>et al</i> , 2014 <sup>10</sup>      | 223 (61)<br>114 Int<br>109 Obs | MS (4%)<br>EE (26%)<br>Radiosurgery (27%)<br>MS+EE (11%) | Prospective, multicentre     | 2.8 years          | 30.7<br>10.1     | 46.2<br>15.1      |
| <b>Microsurgery</b>                         |                                |                                                          |                              |                    |                  |                   |
| Javadpour <i>et al</i> , 2016 <sup>47</sup> | 34 (71)                        | MS (77%)<br>MS+EE (23%)                                  | Retrospective, single centre | 5.8 years (median) | 0.0              | 6.0 (at 6 months) |
| Schramm <i>et al</i> , 2017 <sup>35</sup>   | 104 (61)                       | MS                                                       | Retrospective, single centre | 5.3 years          | 7.7†             | 10.4              |
| Wong <i>et al</i> , 2017 <sup>36</sup>      | 155 (76)                       | MS (72%)<br>MS+EE (25%)                                  | Retrospective, single centre | 3.0 years          | –                | 4.5‡              |
| <b>Radiosurgery</b>                         |                                |                                                          |                              |                    |                  |                   |
| Pollock <i>et al</i> , 2013 <sup>39</sup>   | 174 (49)                       | GKRS (96%)<br>GKRS+MS (4%)                               | Retrospective, single centre | 5.3 years (median) | 10.3             | 9.2               |
| Ding <i>et al</i> , 2016 <sup>40</sup>      | 509 (46)                       | GKRS                                                     | Retrospective, multicentre   | 7.2 years          | 13.0§            | –                 |
| Karlsson <i>et al</i> , 2018 <sup>38</sup>  | 1351                           | GKRS                                                     | Retrospective, multicentre   | 6.5 years          | 9.8¶             | –                 |
| Tonetti <i>et al</i> , 2018 <sup>42</sup>   | 233 (44)                       | GKRS                                                     | Retrospective, single centre | 8.4 years          | 14.0             | –                 |
| <b>Endovascular embolization</b>            |                                |                                                          |                              |                    |                  |                   |
| Singfer <i>et al</i> , 2017 <sup>43</sup>   | 61 (51)                        | EE onyx (41%)<br>EE+Linac (57%)                          | Retrospective, single centre | 5.0 years (median) | 19.7             | 23.0              |
| <b>Multi-modal therapy</b>                  |                                |                                                          |                              |                    |                  |                   |
| Rutledge <i>et al</i> , 2014 <sup>23</sup>  | 74 (49)<br>61 Int<br>13 Obs    | MS (33%)<br>MS+EE (38%)<br>GKRS (25%)                    | Retrospective, single centre | 2.4 years          | 7.7<br>14.8      | 7.7<br>13.8       |
| Nerva <i>et al</i> , 2015 <sup>44</sup>     | 61 (51)                        | MS (5%)<br>MS+EE (46%)<br>GKRS (38%)                     | Retrospective, single centre | 2.1 years          | 16.0†            | 13.0              |
| Lang <i>et al</i> , 2018 <sup>45</sup>      | 105 (44)                       | MS (13%)<br>MS+EE (27%)<br>GKRS (49%)                    | Retrospective, single centre | 3.6 years          | 7.6              | –                 |

\*Spetzler-Martin grade I or II.

†Permanent new significant deficit.

‡Permanent new disabling deficit (mRS  $\geq 3$ ).

\$Adverse neurological outcome: symptomatic radiation changes, permanent neurological morbidity, seizure or death.

¶Haemorrhage or any symptomatic complication causing permanent morbidity or mortality.

ARUBA, A Randomized trial of Unruptured Brain Arteriovenous Malformations; EE, endovascular embolisation; GKRS, gamma-knife radiosurgery; Int, intervention; LG, low grade; Linac, linear accelerator; mRS, modified Rankin scale; MS, microsurgery; Obs, observation; RadioTx, radiotherapy.

effectiveness of radiosurgery, the rate of adverse neurological outcome (symptomatic radiation changes, post-radiosurgery haemorrhage, permanent neurological morbidity or death) over an average follow-up of 7.2 years was 13% in 509 patients treated with GKRS.<sup>40</sup> The outcomes were more favourable when the authors reported results on 232 patients with low-grade AVMs.<sup>41</sup> Although ARUBA did not report obliteration rates, the value of total eradication in recent studies on ARUBA-eligible patients varies between 70% and 80%.<sup>39–42</sup> These studies have shown that over reasonable follow-up periods that surpass the latency period, treating unruptured AVMs with radiosurgery may lead to better outcomes than natural history.

### Endovascular embolisation

A high proportion of ARUBA patients were treated with stand-alone endovascular embolisation (26%) which was also a point of criticism. Singfer *et al* performed a retrospective analysis on 61 ARUBA-eligible patients, 41% of which were treated with stand-alone onyx embolisation and 57% of which were treated with onyx and Linac when necessary to attain cure.<sup>43</sup> During a median follow-up of 5 years, 20% suffered from stroke or death, and treatment-related mortality reached 7%.<sup>43</sup> Despite a selection bias toward patients with favourable profiles for endovascular therapy, treatment-related morbidity in this embolisation-focused approach was high, which may partially explain the elevated rate of adverse outcomes in the ARUBA interventional group.

### Multimodal therapy

After ARUBA's controversial results, several analogous studies on ARUBA-eligible patients retrospectively reviewed their outcomes in series that included multimodal therapy.<sup>23 44 45</sup> Unlike ARUBA, the rates of stand-alone embolisation were negligible, and the use of microsurgery featured more prominently. Most recently, Lang *et al* reported results on 105 patients, 40% of which were treated by microsurgery with or without embolisation, followed up over an average of 3.6 years.<sup>45</sup> They found a significantly lower rate of stroke or death when compared with that of the interventional group (7.6% vs 30.7%;  $p < 0.0001$ ) and conservative group (10.1%) in ARUBA.<sup>45</sup> Similarly, Rutledge *et al* reported lower rates of stroke or death in 13 conservatively managed (7.7%) and 61 treated (14.8%) ARUBA-eligible patients as compared with their ARUBA counterparts (10.1% and 30.7%, respectively).<sup>23</sup>

### CURRENT MANAGEMENT PARADIGM

While ARUBA had numerous limitations, it demonstrated that the treatment of unruptured AVMs does not come without cost. Still, it would be irresponsible to conclude that medical therapy and observation should be adopted as a universal management approach for all unruptured AVMs.<sup>19</sup> Microsurgery remains a highly effective avenue for managing AVMs, especially low-grade lesions, and has been regarded as the gold standard of AVM treatment given its favourable cure rates, safety

profiles and immediacy.<sup>26 31 46</sup> The key to achieving good outcomes is appropriate patient selection in high-volume, multidisciplinary centres,<sup>47</sup> whereby radiosurgery would play a more important role in deep, inaccessible lesions or those having an intimate association with eloquent cortex. A recent review of the literature regarding the use of endovascular embolisation with intent to cure in both ruptured and unruptured AVMs confirmed previous notions of increased complication rates and procedure-related mortality.<sup>48</sup> Chapot *et al* echoed this concern while highlighting the absence of robust data evaluating stand-alone embolisation in the interventional literature.<sup>49</sup> Given existing reports, modern use of endovascular embolisation remains as an adjunct to microsurgery with the main goals of decreasing intraoperative blood loss in large AVMs and securing associated aneurysms or deep arterial feeders. In the aftermath of ARUBA, a new trial, BARBADOS (Beyond ARUBA-Randomized low-grade Brain AVM study: Observation vs Surgery) was proposed to confirm the utility of microsurgery.<sup>46 50</sup> Large prospective randomised trials will not likely be feasible for AVMs to inform our practice, so it is crucial to use the available practice-based data beyond the flawed ARUBA study to optimise patients' lifetime outcomes.

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